



NatWest
International

Application to Broker Panel

As part of our commitment to protecting our existing and new customers from the risks of fraud, and to ensure that we comply with our legal obligations under the relevant Anti Money Laundering and Anti-Bribery and Corruption legislation, we will need to complete due diligence on you before entering into Terms of Business and accepting your mortgage applications.

IMPORTANT

To help us to process your Broker Panel request as quickly as possible, we need you to answer all of the questions below.

Please do not submit a mortgage application until you have been accepted onto our Broker Panel and have received, signed and returned our Terms of Business.

We may seek identification documents from you or the key principals of your firm. If this is a requirement we will provide you with further instructions.

We may have to return any applications to you if our Broker Panel formalities have not been completed.

Your Information

We collect and process various categories of personal and financial information throughout your relationship with us, to allow us to provide our products and services and to run our business. For more information about how we use your personal information, the types of information we collect and process and the purposes for which we process personal information, please read our Privacy Notice provided on our website at natwestinternational.com/global/privacy-notice.html

We may update this from time to time and would encourage you to visit our website regularly to stay informed of the purposes for which we process your information and your rights to control how we process it.

If you cannot provide the information below or are unsure please call us.

What is the name of your NatWest International contact

Firm name

Name of main contact
at your Firm

Address line 1

Address line 2

Address line 3

Postcode

Telephone number

Email address

How did you hear about NatWest International Mortgages?

Are you already on the NatWest Intermediary Solutions Panel in the UK?

Yes ☐ No ☐

Please provide details
of your main contact

What is your FCA
Number?

Are you Directly Authorised or an Appointed Representative?

Directly Authorised ☒ Appointed Representative ☒

If an Appointed Representative please provide details of your Network

Will procurement fees be paid directly to your Network?

Yes ☒ No ☒

Are you a limited company, partnership or sole trader (*)? (Please provide details)

Do you or your firm bank
with NatWest Group?

Yes ☒ No ☒

Please provide details

How long have you been
trading for?

Do you have any offices
overseas? If yes, where?

Yes ☒ No ☒

If yes, please list
all countries?

What is the anticipated volume of business you expect to introduce to us?

Where do you source
business from?

What other services do
you provide?

Are you a member of any of the following:

National Association of Finance Brokers

Yes ☒ No ☒

Institute of Chartered Accountants

Yes ☒ No ☒

ICAEW

Yes ☒ No ☒

ACCA

Yes ☒ No ☒

Do you provide regular product & sales compliance training to all sales staff?

Yes ☒ No ☒

Please provide details

Can you envisage any situations now or in the future that might result in a conflict of interest between your firm and the Bank?

Yes ☒ No ☒

Please provide details

(*) we will require identification for sole traders. Please contact us for further information.

Can you confirm that you are tax compliant, have not previously evaded tax and are not participating in any tax avoidance arrangements.

Yes ☒ No ☒

What happens next?

- Please send this completed form to us
- We will progress your application and expect to provide you with an update within 3 days
- If your application is successful we will send you our Terms of Business for you to consider. Please sign and return the Terms of Business by post with a covering letter to confirm the bank details we should pay any procurement fees to
- Once we receive the signed Terms of Business we will accept mortgage applications from you

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