

# Customer Appointing Intermediary Mandate Limited Company

**Please note** – when filling out this form please use the tab and arrow keys to move between the relevant fields. Do **not** use the return or enter keys. If completing by hand please use BLOCK CAPITALS and black ink.

**Please complete this Mandate to appoint an Intermediary who can deal with the Bank and operate the Customer's Accounts. The Intermediary will also be able to use the Bank's electronic banking service to view and make payments online from the Customer's Accounts and enter into foreign exchange or money market deposit transactions online for the Customer and use SWIFT or other electronic communications service.**

**A separate Mandate is required for each Customer.**

**This Mandate must be completed without amendment. Any corrections or alterations will not be accepted. If you have any questions or concerns, please speak to your contact at the Bank.**

## 1. Customer details

Customer name (in full)

Country of incorporation/  
formation

Registered number

**Please attach a list of the Company's current Directors and (if appointed) the Company Secretary.**

## 2. Application to Accounts

This Mandate applies to all existing and future accounts of the Customer.

Account number

Sort code

Please specify the main  
operating account.

**New customers**  
please leave this blank.

### 3. Resolution

The following Resolution must be passed at a meeting or by written resolution of the Company's Board of Directors.

#### It was resolved that:

- 3.1 A banking relationship will be maintained with The Royal Bank of Scotland International Limited trading as NatWest International (The **Bank**) and that this Mandate will apply to all existing and future **Accounts** of the Customer with the Bank.
- 3.2 The Customer is appointing the **Intermediary** to receive statements for, make payments from and operate the Accounts, and enter into foreign exchange and money market deposit transactions, instead of the Customer appointing its own Authorised Signatories.

Intermediary's name (in full)

Specified signatory list  
(if applicable)

Registered office

Registered number

Only complete this field if the Intermediary maintains **more than** one signatory list.

- 3.3 The Bank can rely on the applicable list of the **Authorised Signatories** supplied to the Bank from time to time by a Director, or Member/Partner of the Intermediary or its Company Secretary, or accept instructions for foreign exchange and money market deposit transactions from any representative of the Intermediary.
- 3.4 The Authorised Signatories may in accordance with the **Signing Rules** supplied to the Bank from time to time by a Director, or Member/Partner of the Intermediary or its Company Secretary, on behalf of the Customer and the Intermediary:
- 3.4.1 Sign cheques or give instructions for Standing Orders, Direct Debits, banker's drafts and other payments from the Accounts, even if it causes an Account to be overdrawn or exceed any limit.
- 3.4.2 Sign, accept or endorse bills of exchange or other orders and give instructions for discounting inland or foreign bills.
- 3.4.3 Close Accounts with credit balances.
- 3.5 The Authorised Signatories identified in the Signing Rules for unlimited amounts may, in accordance with those Signing Rules, on behalf of the Customer and the Intermediary:
- 3.5.1 Open new Accounts in the name of the Customer with the same Signing Rules and Authorised Signatories, agree the Account terms and provide the Bank with any required information in relation to the Customer.
- 3.5.2 Give instructions for the issue of Letters of Credit, Bonds or Guarantees.
- 3.5.3 Instruct the Bank to allow the Intermediary to use in connection with the Accounts to the electronic banking service, SWIFT or other electronic means used by the Intermediary to instruct the Bank to make payments or open and operate accounts, or otherwise to communicate with or instruct the Bank. The Intermediary's electronic banking **Administrators** and **Payment Authorisers**, or any other person who may at any time carry out any function or activity in connection with the Intermediary's use of electronic banking, SWIFT or other electronic means, may then carry out the same functions and activities in relation to the Accounts.
- 3.6 Any of the Authorised Signatories may, on behalf of the Customer and the Intermediary:
- 3.6.1 Give other instructions to or request information from the Bank in relation to the Accounts.
- 3.6.2 Give instructions to close Accounts with nil balances.
- 3.6.3 Give instructions to withdraw securities, documents or articles lodged for safe custody.
- 3.6.4 Request that an Account be removed from or added to electronic banking.
- 3.7 The Bank may accept instructions that do not have an original written signature if the Bank is satisfied that the instruction is genuine and subject to any other agreement the Bank may require for those instructions.
- 3.8 The Customer accepts that if a winding up petition is presented against the Customer, the Bank may refuse to make payments out of the Accounts.
- 3.9 The Customer will keep the Bank informed of any changes to the Customer's Directors and the Company Secretary.
- 3.10 This Mandate will continue until the Customer gives the Bank a replacement Mandate.

#### 4. Director's or Company Secretary's Certificate

I certify that the Resolution set out in Section 3 was passed at a meeting at which a quorum was present or by written resolution signed by all Directors. In respect of this Mandate, I certify that:

- the information given is correct.
- the accompanying list of current Directors and (if appointed) Company Secretary is correct as at the date of this Certificate and is attached – please indicate here

To confirm any information on this Mandate the Bank may call:

Please insert a contact name and telephone number.

#### For use where the Director or Company Secretary signing is an individual:

Signed by a Director or the Company Secretary of the Customer:

Signature

Full name \_\_\_\_\_

Official position \_\_\_\_\_

Date (DD/MM/YYYY) \_\_\_\_\_

OR

#### For use where a Corporate Director or Corporate Company Secretary is signing:

Signed by a Corporate Director or the Corporate Company Secretary of the Customer:

Signature (this must be the signature of an individual who is authorised to sign on behalf of the Corporate Director or Corporate Company Secretary)

Full name of individual \_\_\_\_\_

for

Name of Corporate Director/  
Corporate Company Secretary \_\_\_\_\_

Official position held in Corporate Director/  
Corporate Company Secretary \_\_\_\_\_

Date (DD/MM/YYYY) \_\_\_\_\_

**Your Information**

For details of how we and others will use your information, please refer to our Privacy Notice available at [natwestinternational.com/privacynotice](http://natwestinternational.com/privacynotice) or ask your usual contact at the Bank.

When we use and share personal and financial information, we do so on the basis that we have a legitimate interest to prevent fraud and money laundering, to manage our risk and to protect our business and to comply with laws that apply to us (including verifying your identity and assessing the suitability of our products).

**How we use and share your information**

**(a) Credit reference and fraud prevention agencies**

We may request information about you from credit reference agencies to help verify your identity to comply with laws that apply to us. This request will not affect your ability to obtain credit (for example for a loan or credit card) in the future.

In order to prevent and detect fraud, the information provided in this form will be shared with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified or suspected, details will be recorded with these agencies to prevent fraud and money laundering.

If we, or a fraud prevention agency, determine that you pose a fraud or money laundering risk, we may refuse to provide the services and financing to you. When credit reference and fraud prevention agencies process your information, they do so on the basis that they have a legitimate interest in preventing fraud and money laundering, to protect their business and to comply with laws that apply to them.

**(b) With other RBS companies**

We and other RBS companies worldwide will use the information you supply in this form (and any information we or other RBS companies may already hold about you) in connection with processing your request and to assess your suitability for our products.

If this form is part of an application which is declined we will normally keep your information for up to 10 years, but we may keep it for longer if required by us or other RBS companies, in order to comply with legal and regulatory requirements.

We and other RBS companies may use your information in order to improve the relevance of our products and marketing.

**(c) With other third parties**

The information provided in this form may be used for compliance with legal and regulatory screening requirements, including confirming your eligibility to hold a UK bank account and sanctions screening.

We may be required to disclose certain information to regulators, tax authorities, government bodies and similar organisations around the world, including the name, address, tax number, account number(s), total gross amount of interest paid or credited to the account and the balance or value of the account(s) of our customers.